

Robust Simulations and Significant Separations

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Abstract

We define and study a new notion of “robust simulations” between complexity classes which is intermediate between the traditional notions of infinitely-often and almost-everywhere, as well as a corresponding notion of “significant separations”. A language L has a robust simulation in a complexity class C if there is a language in C which agrees with L on arbitrarily large polynomial stretches of input lengths. There is a significant separation of L from C if there is no robust simulation of $L \in C$.

The new notion of simulation is a cleaner and more natural notion of simulation than the infinitely-often notion. We show that various implications in complexity theory such as the collapse of PH if $NP = P$ and the Karp-Lipton theorem have analogues for robust simulations. We then use these results to prove that most known separations in complexity theory, such as hierarchy theorems, fixed polynomial circuit lower bounds, time-space tradeoffs, and the recent theorem of Williams, can be strengthened to significant separations, though in each case, an almost everywhere separation is unknown.

Proving our results requires several new ideas, including a completely different proof of the hierarchy theorem for non-deterministic polynomial time than the ones previously known.

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1 Introduction

What does the statement “ $P \neq NP$ ” really tell us? All it says is that for any polynomial-time algorithm A , A fails to solve SAT on an infinite number of inputs. These hard-to-solve inputs could be exponentially (or much worse) far from each other. Thus even a proof of $P \neq NP$ could leave open the possibility that SAT or any other NP -complete problem is still solvable on all inputs encountered in practice. This is unsatisfactory if we consider that one of the main motivations of proving lower bounds is to understand the limitations of algorithms.

Another important motivation for proving lower bounds is that hardness is *algorithmically useful* in the context of cryptography or derandomization. Again, if the hardness only holds for inputs or input lengths that are very far apart, this usefulness is called into question. For this reason, theorists developed a notion of almost-everywhere (a.e.) separations, and a corresponding notion of infinitely-often (i.o.) simulations. A language L is in $i.o.C$ for a complexity class C if there is some $A \in C$ such that A and L agree on infinitely many input lengths. A class D is almost everywhere not in C if for some language L in D , $L \notin i.o.C$, that is any C -algorithm fails to solve L on all but a finite number of input lengths. As an example of applying these notions, Impagliazzo and Wigderson [IW97] show that if $E \not\subseteq SIZE(2^{o(n)})$ then BPP is in $i.o.P$, and that if $E \not\subseteq i.o.SIZE(2^{o(n)})$, then $BPP = P$.

However, the infinitely often notion has its own issues. Ideally, we would like a notion of simulation to capture “easiness” in some non-trivial sense. Unfortunately, many problems that we consider hard have trivial infinitely often simulations. For example, consider any NP -hard problem on graphs or square matrices. The natural representation of inputs for such problems yields non-trivial instances only for input lengths that are perfect squares. In such a case, the problem has a trivial infinitely often simulation on the set of all input lengths which are not perfect squares. On the other hand, the problem could be “padded” so that it remains non-trivial on input lengths which are not perfect squares. It’s rather unsatisfactory to have a notion of simulation which is so sensitive to the choice of input representation.

Not unrelated to this point is that analogues of many classical complexity results fail to hold in the infinitely often setting. For example, we do not know if $SAT \in i.o.P$ implies that the entire Polynomial Hierarchy has simulations infinitely-often in polynomial time. We also don’t know if in general a complete language for a class being easy infinitely-often implies that the entire class is easy infinitely-often. This is true for SAT and NP because SAT is paddable and downward self-reducible, but for general complexity classes the implication is unclear. Given that even these basic analogues are not known, it’s not surprising that more involved results such as the Karp-Lipton theorem [KL82] and the theorem of Impagliazzo, Kabanets and Wigderson [IKW02] don’t have known infinitely often analogues either.

In an ideal world, we would like all our algorithms to work on all input lengths, and all our separations to be almost-everywhere separations. While algorithm design does typically focus on algorithms that work on all input lengths, many of the complexity separations we know do not work in the almost everywhere setting. Separations proved using combinatorial or algebraic methods, such as Hastad’s lower bound for Parity [Hås86] or Razborov’s monotone circuit lower bound for Clique [Raz85] tend to be almost everywhere (in an appropriate input representation). However, such techniques typically have intrinsic limitations, as they run into the natural proofs barrier [RR97]. Many of the lower bounds proved recently have come from the use of indirect diagonalization. A contrary upper bound is assumed and this assumption is used together with various other ideas to derive a contradiction to a hierarchy theorem. These newer results include

hierarchy theorems [Bar02, FS04, vMP06], time-space tradeoffs [For00, FLvMV05], and circuit lower bounds [BFT98, Vin05, San07, Wil10a, Wil10b]. Unfortunately, *none* of these results give almost everywhere separations, and so the question immediately arises what we can say quantitatively about these separations, in terms of the frequency with which they hold.

To address all these issues, we describe a new notion of “robust simulation” and a corresponding notion of “significant separation”. A language L is in r.o.C (robustly-often in C) if there is a language A in C such that for every k there are infinitely many m and such that A and L agree on all inputs between m and m^k . A class D has a significant separation from C if there is some L in D such that $L \notin \text{r.o.C}$. This implies that for each $L' \in \text{C}$, there is a constant k such that for each m , L and L' differ on at least one input length between m and m^k . Intuitively, this means that if the separation holds at some input length, there is another input length at most polynomially larger at which the separation also holds, i.e., the hardness is not too “sparsely” distributed.

Our definition of robust simulations extends the notion of uniform hardness of Downey and Fortnow [DF03]. A set A is uniformly hard in the sense of Downey and Fortnow if $A \notin \text{r.o.P}$.

The notion of robust simulation is just slightly stronger than the notion of infinitely often simulation, and correspondingly the notion of significant separation is slightly weaker than that of almost everywhere separations. By making this tradeoff, however, we show that we can often achieve the best of both worlds.

We give robustly often analogues of many classical complexity results, where infinitely often analogues remain open, including

- $\text{NP} \subseteq \text{r.o.P}$ implies $\text{PH} \subseteq \text{r.o.P}$
- $\text{NP} \subseteq \text{r.o.SIZE}(\text{poly})$ implies $\text{PH} \subseteq \text{r.o.P}$
- $\text{NEXP} \subseteq \text{r.o.SIZE}(\text{poly})$ implies $\text{NEXP} \subseteq \text{r.o.MA}$

We then use these robustly often analogues together with other ideas to give several significant separations where almost everywhere separations remain open, including

- $\text{NTIME}(n^r) \not\subseteq \text{r.o.NTIME}(n^s)$, when $r > s \geq 1$
- For each constant k , $\Sigma_2\text{P} \not\subseteq \text{r.o.SIZE}(n^k)$
- $\text{SAT} \not\subseteq \text{r.o.DTISP}(n^\alpha, \text{polylog}(n))$ when $\alpha < \sqrt{2}$
- $\text{NEXP} \not\subseteq \text{r.o.ACC}^0$

The robustly often notion gives us a cleaner and more powerful theory than the infinitely often notion.

1.1 Intuition and Techniques

To illustrate the advantages of the robustly often notion over the infinitely often notion, let’s look at a simple example: trying to show that if SAT is easy, then all of NP is easy. Let $L \in \text{NTIME}(n^k)$ be any NP language, where $k > 0$ is a constant. $\text{SAT} \in \text{i.o.P}$ doesn’t immediately imply $L \in \text{i.o.P}$, as the range of the reduction from L to SAT might only intersect input lengths where the polynomial-time algorithm for SAT is incorrect. In this case, the problem can be fixed by padding the reduced

instance to polynomially many different input lengths and using downward self-reducibility to check YES answers for any of these inputs. However, this fix depends on specific properties of SAT.

Showing that $\text{SAT} \in \text{r.o.P}$ implies $L \in \text{i.o.P}$ is an easier, more generic argument. Define a robust set of natural numbers to be any set S such that for each $k > 0$ there is an m for which S contains all numbers between m and m^k for some m . $\text{SAT} \in \text{r.o.P}$ means that there is a robust set S on which the simulation works. Now the reduction from L to SAT creates instances of length $n^k \text{polylog}(n)$, and it's not hard to see that this automatically implies that composing the reduction with the algorithm for SAT gives an algorithm for L which works on some robust subset S' of S . This implies $L \in \text{r.o.P}$. We call a robust subset of a set a *robust refinement*. Many of our arguments will involve defining a series of robust refinements of a robust set such that the desired simulation holds on the final refinement in the series, thus implying that the simulation goes through in the robustly often setting.

Thus far, using robustly often seems easier but hasn't given us any additional power. The situation changes if we consider implications where the assumption is used *two or more* times. An example is the proof that $\text{NP} \subseteq \text{P}$ implies $\text{PH} \subseteq \text{P}$ - this is an inductive proof where the assumption is used several times. Trying to carry an infinitely often simulation through fails miserably in this setting because two infinitely often simulations do not compose - they might work on two completely different infinite sets of input lengths.

Now two robustly often simulations do not in general compose either. It is not in general true that for complexity classes B, C and D , $B \subseteq \text{r.o.C}$ and $C \subseteq \text{r.o.D}$, then $B \subseteq \text{r.o.D}$. However, we *can* get these two robustly often simulations to compose when they are *both* consequences of a single robustly often assumption. The robustly often assumption gives us some robust set to work with. If we're careful we can define a single robust refinement of this set on which $B \subseteq C$ holds and so too does $C \subseteq D$, which implies $B \subseteq D$ holds on this refinement as well.

This is an idea that we will use again and again in our proofs. However, in order to use this idea, we need to be careful with the steps in our proof, as there are only some kinds of implications for which the idea is useful. For example, it works well with fixed polynomial-time reductions or translations with fixed polynomial advice, but not with exponential padding. More importantly, the idea only works when all the steps in the proof follow from a *single* assumption, so we need to re-formulate proofs so that they conform to this pattern. In some cases, eg. the proofs of Theorem 18 and Theorem 24, the re-formulation is non-trivial and leads to proofs that are quite a bit more involved than the originals [IKW02, Kan82].

In the case of significant hierarchies for non-deterministic time, i.e., hierarchies where the lower bound is against robust simulations, the known techniques break down entirely. The traditional argument is a "chaining argument" [Coo72, SFM78, Žŝ3] which uses a chain of exponentially many input lengths and cannot possibly give a hierarchy against robustly often simulations. Here, we come up with a novel idea of chaining using witnesses to get a significant hierarchy for polynomial time.

Our most technically involved result is that the recent breakthrough lower bound of Williams [Wil10a, Wil10b] can be strengthened to a significant separation. The proof of this result uses almost all of the techniques we develop, including the sophisticated use of robust refinements involved in proving Theorem 18, and a variant of the significant hierarchy for non-deterministic polynomial time.

Implicit in our paper is a certain proof system for proving complexity class separations, such that any separation proved in this system automatically yields an infinitely often separation. It's

an interesting open problem to make this system explicit, and to study its power and its limitations more formally.

2 Preliminaries

2.1 Complexity Classes, Promise Problems and Advice

We assume a basic familiarity with complexity classes such as P, RP, BPP, NP, MA, AM, Σ_2^P , PP and their exponential-time versions. The Complexity Zoo¹ is an excellent resource for basic definitions and statements of results.

Given a complexity class \mathcal{C} , $\text{co}\mathcal{C}$ is the class of languages L such that $\bar{L} \in \mathcal{C}$. Given a function $s : \mathbb{N} \rightarrow \mathbb{N}$, $\text{SIZE}(s)$ is the class of Boolean functions $f = \{f_n\}$ such that for each n , f_n has Boolean circuits of size $O(s(n))$. Given a language L and an integer n , $L_n = L \cap \{0, 1\}^n$. Given a class \mathcal{C} , $\text{i.o.}\mathcal{C}$ is the class of languages L for which there is a language $L' \in \mathcal{C}$ such that $L_n = L'_n$ for infinitely many length n .

In order to deal with promise classes in a general way, we take as fundamental the notion of a complexity measure. A complexity measure CTIME is a mapping which assigns to each pair (M, x) , where M is a time-bounded machine (here a time function $t_M(x)$ is implicit) and x an input, one of three values “0” (accept), “1” (reject) and “?” (failure of CTIME promise). We distinguish between *syntactic* and *semantic* complexity measures. Syntactic measures have as their range $\{0, 1\}$ while semantic measures may map some machine-input pairs to “?”. The complexity measures DTIME and NTIME are syntactic (each halting deterministic or non-deterministic machine either accepts or rejects on each input), while complexity measures such as BPTIME and MATIME are semantic (a probabilistic machine may accept on an input with probability $1/2$, thus failing the bounded-error promise). For syntactic measures, any halting machine defines a language, while for semantic measures, only a subset of halting machines define languages.

A promise problem is a pair (Y, N) , where $Y, N \subseteq \{0, 1\}^*$ and $Y \cap N = \emptyset$. We say that a promise problem (Y, N) belongs to a class $\text{CTIME}(t)$ if there is a machine M halting in time t on all inputs of length n such that M fulfils the CTIME promise on inputs in $Y \cup N$, accepting on inputs in Y and rejecting on inputs in N .

A language L is in $\text{CTIME}(t)/a$ if there is a machine M halting in time $t(\cdot)$ taking an auxiliary *advice* string of length $a(\cdot)$ such that for each n , there is some advice string $b_n, |b_n| = a(n)$ such that M fulfils the CTIME promise for each input x with advice string b_n and accepts x iff $x \in L$.

For syntactic classes, a lower bound with advice or for the promise version of the class translates to a lower bound for the class itself.

Definition 1. Let S be a subset of positive integers. S is *robust* if for each positive integer k , there is a positive integer $m \geq 2$ such that $n \in S$ for all $m \leq n \leq m^k$.

Note that any robust set is infinite. We now define what it means to simulate a language in a complexity class on a subset of the positive integers.

Definition 2. Let L be a language, \mathcal{C} a complexity class, and S a subset of the positive integers. We say $L \in \mathcal{C}$ on S if there is a language $L' \in \mathcal{C}$ such that $L_n = L'_n$ for any $n \in S$.

¹<http://qwiki.caltech.edu/wiki/ComplexityZoo>

Using the terminology of Definition 2, $L \in \text{i.o.}\mathcal{C}$ for a language L and complexity class \mathcal{C} if there is some infinite set $S \subseteq \mathbb{N}$ such that $L \in \mathcal{C}$ on S . We now define our main notion of robustly-often simulations.

Definition 3. *Given a language L and complexity class \mathcal{C} , $L \in \text{r.o.}\mathcal{C}$ if there is a robust S such that $L \in \mathcal{C}$ on S . In such a case, we say that there is a robustly-often (r.o.) simulation of L in \mathcal{C} . We extend this notion to complexity classes in the obvious way - given complexity classes \mathcal{B} and \mathcal{C} , $\mathcal{B} \subseteq \text{r.o.}\mathcal{C}$ if there for each language $L \in \mathcal{B}$, $L \in \text{r.o.}\mathcal{C}$. If $\mathcal{B} \not\subseteq \text{r.o.}\mathcal{C}$, we say that there is a significant separation of \mathcal{B} from \mathcal{C} .*

Clearly $\mathcal{B} \subseteq \text{r.o.}\mathcal{C}$ implies $\mathcal{B} \subseteq \text{i.o.}\mathcal{C}$. Conversely, $\mathcal{B} \not\subseteq \text{i.o.}\mathcal{C}$ gives a very strong separation of \mathcal{B} and \mathcal{C} , i.e., an almost-everywhere separation, while a significant separation is somewhat weaker but still much more significant than simply a separation of \mathcal{B} and \mathcal{C} . Intuitively, a significant separation means that input lengths witnessing the separation are at most polynomially far apart.

We now define a sequence of *canonical refinements* for any given set S , which will play an important part in many of our proofs.

Definition 4. *Let S be a robust set. The canonical refinement S_d of S at level d is defined as follows for any integer $d > 0$: $m \in S_d$ iff $m \in S$ and $n \in S$ for all $m \leq n \leq m^d$.*

It is easy to see S_d is robust if S is robust and that $S_d \subseteq S_{d'}$ for $d \geq d'$.

3 Robust Simulations

For any NP-complete language L the language

$$L' = \{x10^i \in L \mid |x| + 1 + i \text{ is even}\}$$

remains NP-complete but sits in i.o.P . In contrast if any NP-complete set under honest m -reductions sits in r.o.P then $\text{NP} \subseteq \text{r.o.P}$.

Lemma 5. *Let L and L' be languages such that L' reduces to L via a polynomial-time honest m -reduction. Let \mathcal{C} be a complexity class closed under poly-time m -reductions. If there is a robust S such that $L \in \mathcal{C}$ on S , then there is a robust refinement S' of S such that $L' \in \mathcal{C}$ on S' .*

Proof in appendix.

The proof ideas of Lemma 5 can be used to show that robustly often analogues of various useful implications hold. We omit the proofs of these propositions, since they follow from the definition of robustly often in much the same way as Lemma 5.

The first analogue essentially says that we can take a complete language to be representative of a complexity class, even in the context of robustly often simulations. It is an immediate consequence of Lemma 5.

Proposition 6. *If $\text{SAT} \in \text{r.o.P}$, then $\text{NP} \subseteq \text{r.o.P}$.*

In fact, if $\text{SAT} \in \mathcal{P}$ on S for some robust set S and $L \in \text{NTIME}(n^d)$ for some integer d , the proof of Proposition 6 gives that $L \in \mathcal{P}$ on S_{d+1} , i.e., on the canonical refinement of S at level $d + 1$.

The next proposition says that translation arguments using a fixed polynomial amount of advice carry through in the robustly often setting, for any “reasonable” complexity measure where “reasonable” means that the measure is closed under efficient deterministic transductions [vMP06]. All complexity measures considered in this paper are reasonable in this sense.

Proposition 7. *Let BTIME and CTIME be any complexity measures closed under efficient deterministic transductions. Let g and h be time-constructible functions, and p a polynomial. If $\text{BTIME}(g(n)) \subseteq \text{r.o.}\text{CTIME}(h(n))$, then $\text{BTIME}(g(p(n))) \subseteq \text{r.o.}\text{CTIME}(h(p(n)))$.*

As a consequence of Proposition 7, we get for example that if $\text{NTIME}(n) \subseteq \text{r.o.}\text{P}$, then $\text{NP} \subseteq \text{r.o.}\text{P}$. There are contexts where exponential padding is used in complexity theory, eg., in the proof that $\text{NP} = \text{P}$ implies $\text{NEXP} = \text{EXP}$. This result doesn't seem to translate to the robustly often setting, however a weaker version does.

Proposition 8. *If $\text{NP} \subseteq \text{r.o.}\text{P}$, then $\text{NEXP} \subseteq \text{i.o.}\text{EXP}$.*

The proposition below says that simulations of a syntactic class in another class can be translated to a simulation with fixed polynomial advice, even in the robustly often setting.

Proposition 9. *Let BTIME be a syntactic complexity measure and CTIME a complexity measure, such that both BTIME and CTIME are closed under efficient deterministic transductions. Let f and g be time-constructible measures and p a polynomial. If $\text{BTIME}(f(n)) \subseteq \text{r.o.}\text{CTIME}(g(n))$, then $\text{BTIME}(f(n))/p(n) \subseteq \text{r.o.}\text{CTIME}(g(n+p(n)))/p(n)$.*

Theorem 10. *If $\text{NP} \subseteq \text{r.o.}\text{P}$, then $\text{PH} \subseteq \text{r.o.}\text{P}$*

Proof in the appendix.

Theorem 11. *If $\text{NP} \subseteq \text{r.o.}\text{SIZE}(\text{poly})$, then $\text{PH} \subseteq \text{r.o.}\text{SIZE}(\text{poly})$*

Theorem 12. *If $\text{NP} \subseteq \text{r.o.}\text{BPP}$, then $\text{PH} \subseteq \text{r.o.}\text{BPP}$.*

We omit the proof of Theorem 11, which closely resembles the proof of Theorem 10.

Next we show a robust analogue of the Karp-Lipton theorem [KL82]. We formulate a stronger statement which will be useful when we show significant fixed-polynomial circuit size lower bounds for Σ_2^P . The proof is simpler than for some of the other analogues, since the assumption is only used once during the proof.

Lemma 13. *If there is a constant k and a robust set S such that $\text{SAT} \in \text{SIZE}(n^k)$ on S , then there is a robust refinement S' of S such that $\Pi_2\text{SAT} \in \Sigma_2 - \text{TIME}(n^{k+1+o(1)})$.*

Proof in the appendix.

The following is an immediate corollary.

Corollary 14. *If $\text{NP} \subseteq \text{r.o.}\text{SIZE}(\text{poly})$, then $\Sigma_2^P \subseteq \text{r.o.}\Pi_2^P$.*

Analogously, it is easy to show an robustly often version of a theorem credited to Nisan by Babai, Fortnow and Lund [BFL91]. We need the following lemma.

Lemma 15. *Let L be a language complete for E under linear-time reductions. If there is a robust set S and a constant $k \geq 1$ such that $L \in \text{SIZE}(n^k)$ on S , then there is a robust refinement S' of S such that $L \in \text{MATIME}(n^{2k})$ on S' .*

The analogue of Nisan's result follows as a corollary.

Corollary 16. *If $\text{EXP} \subseteq \text{r.o.}\text{SIZE}(\text{poly})$, then $\text{EXP} \subseteq \text{r.o.}\text{MA}$.*

The following can be shown using the easy witness method of Kabanets [Kab01, IKW02] and known results on pseudo-random generators [NW94, KvM99].

Lemma 17. *Let R be any robust set and $k > 1$ be any constant. Then there is a robust refinement R' of R such that either $\text{NE} \subseteq \text{DTIME}(2^{n^{16k^4}})$ on R or $\text{MATIME}(n^{4k^2}) \subseteq \text{NE}/O(n)$ on R' .*

We next derive an robustly often analogue of the main theorem of Impagliazzo, Kabanets and Wigderson [IKW02].

Theorem 18. *If $\text{NEXP} \subseteq \text{r.o.SIZE}(\text{poly})$, then $\text{NEXP} \subseteq \text{r.o.MA}$.*

Proof in the appendix.

4 Significant Separations

4.1 Hierarchies

The proofs of the hierarchies for deterministic time and space actually give almost-everywhere separations and therefore significant separations.

For nondeterministic time the situation is quite different. Cook [Coo72] showed that $\text{NTIME}(n^r) \subsetneq \text{NTIME}(n^s)$ for any reals $r < s$. Seiferas, Fischer and Meyer [SFM78] generalize this result to show that $\text{NTIME}(t_1(n)) \subsetneq \text{NTIME}(t_2(n))$ for $t_1(n+1) = o(t_2(n))$. Zak [Ž83] gives a simpler proof of the same result. All these proofs require building an exponential (or worse) chain of equalities to get a contradiction. Their proofs do not give almost everywhere separations or significant separations. No relativizable proof can give an i.o. hierarchy as Buhrman, Fortnow and Santhanam give a relativized world that $\text{NEXP} \subseteq \text{i.o.NP}$.

In this section we give relativizing proofs that $\text{NEXP} \not\subseteq \text{r.o.NP}$ and that $\text{NTIME}(n^r) \not\subseteq \text{r.o.NTIME}(n^s)$ for $r > s \geq 1$. The latter proof requires a new proof of the traditional nondeterministic time hierarchy.

Theorem 19. $\text{NEXP} \not\subseteq \text{r.o.NP}$.

Proof in appendix. Using similar ideas, we can get a separation against sub-polynomial advice.

Theorem 20. $\text{NEXP} \not\subseteq \text{r.o.NP}/n^{o(1)}$

In the purely uniform setting, we now show a stronger version of Theorem 19 in the form of a significant hierarchy for non-deterministic polynomial time.

Theorem 21. *If t_1 and t_2 are time-constructible functions such that*

- $t_1(n) = o(t_2(n))$, and
- $n \leq t_1(n) \leq n^c$ for some constant c

then $\text{NTIME}(t_2(n)) \not\subseteq \text{r.o.NTIME}(t_1(n))$.

Corollary 22. *For any reals $1 \leq r < s$, $\text{NTIME}(n^s) \not\subseteq \text{r.o.NTIME}(n^r)$.*

Proof of Theorem 21. Let M_1, M_2, \dots be an enumeration of multitape nondeterministic machines that run in time $t_1(n)$.

Define a nondeterministic Turing machine M that on input $1^i 01^m 0w$ does as follows:

- If $|w| < t_1(i + m + 2)$ accept if both $M_i(1^i 01^m 0w0)$ and $M_i(1^i 01^m 0w1)$ accepts.
- If $|w| \geq t_1(i + m + 2)$ accept if $M_i(1^i 01^m 0)$ rejects on the path specified by the bits of w .

Since we can universally simulate $t(n)$ -time nondeterministic multitape Turing machines on an $O(t(n))$ -time 2-tape nondeterministic Turing machine, $L(M) \in \text{NTIME}(O(t_1(n+1))) \subseteq \text{NTIME}(t_2(n))$. Note $(n+1)^c = O(n^c)$ for any c .

Suppose $\text{NTIME}(t_2(n)) \subseteq \text{r.o. NTIME}(t_1(n))$. Pick a c such that $t_1(n) \ll n^c$. By the definition of r.o. there is some n_0 and a language $L \in \text{NTIME}(t_1(n))$ such that $L(M) = L$ on all inputs of length between n_0 and n_0^c . Fix i such that $L = L(M_i)$. Then $z \in L(M_i) \Leftrightarrow z \in L(M)$ for all $z = 1^i 01^{n_0} 0w$ for $w \leq t_1(i + n_0 + 2)$.

By induction we have $M_i(1^i 01^{n_0} 0)$ accepts if $M_i(1^i 01^{n_0} 0w)$ accepts for all $w \leq t_1(i + n_0 + 2)$. So $M_i(1^i 01^{n_0} 0)$ accepts if and only if $M_i(1^i 01^{n_0} 0)$ rejects on every computation path, contradicting the definition of nondeterministic time. \square

4.2 Circuit Lower Bounds

Kannan [Kan82] showed how to diagonalize in Σ_4^p against circuit size n^k almost everywhere, for any constant k . He combined this result with the Karp-Lipton theorem to obtain fixed polynomial circuit size lower bounds in Σ_2^p . However, the lower bound for Σ_2^p no longer holds almost everywhere, as a consequence of the proof strategy used by Kannan. It has been open since then to derive an almost everywhere separation in this context. We manage to obtain a significant separation. We need a quantitative form of Kannan's original diagonalization result. Kannan's paper contains a slightly weaker form of this result, but his proof does yield the result below.

Theorem 23. [Kan82] For any constant $k \geq 1$, $\Sigma_4 - \text{TIME}(n^{3k}) \not\subseteq \text{i.o.SIZE}(n^k)$

Theorem 24. For each integer $k > 1$, $\Sigma_2^p \not\subseteq \text{r.o.SIZE}(n^k)$.

Proof in the appendix.

Using similar ideas we can get robustly often analogues of the lower bound of Cai and Sengupta [Cai01] for S_2P and Vinodchandran [Vin05] for PP :

Theorem 25. For any $k > 0$, $\text{S}_2\text{P} \not\subseteq \text{r.o.SIZE}(n^k)$.

Theorem 26. For any $k > 0$, $\text{PP} \not\subseteq \text{r.o.SIZE}(n^k)$.

The following strong separation can be shown by direct diagonalization.

Proposition 27. For any integer $k \geq 1$, $\text{DTIME}(2^{n^{2k}}) \not\subseteq \text{i.o.SIZE}(n^k)$.

We use Proposition 27 to give an analogue of the result of Burhman, Fortnow and Thierauf [BFT98] that $\text{MAEXP} \not\subseteq \text{SIZE}(\text{poly})$ in the robustly often setting. We give a circuit lower bound for promise problems in MAEXP rather than languages. Intuitively, the fact that MATIME is a semantic measure causes problems in extending the proof of Burhman, Fortnow and Thierauf to the robustly often setting; however, these problems can be circumvented if we consider promise problems.

Theorem 28. *Promise* – $\text{MAEXP} \not\subseteq \text{r.o.SIZE}(\text{poly})$.

Proof. Let Q be a promise problem complete for $\text{Promise} - \text{MAE}$ under linear-time reductions. Assume, for the purpose of contradiction, that $\text{Promise} - \text{MAEXP} \subseteq \text{r.o.SIZE}(\text{poly})$. Then there is a robust set S and an integer $k > 0$ such that $Q \in \text{SIZE}(n^k)$ on S . Let L be a language complete for E under linear-time reductions. Since there is a linear-time reduction from L to Q , it follows that there is a robust refinement S' of S such that $L \in \text{SIZE}(n^k)$ on S' . Using Lemma, there is a robust refinement S'' of S' such that $L \in \text{MATIME}(n^{2k})$ on S'' . Using a simple padding trick, there is a robust refinement S''' of S'' such that for each language $L' \in \text{DTIME}(2^{n^{2k}})$, $L' \in \text{MATIME}(n^{4k^2})$ on S''' . Using completeness of Q again, there is a robust refinement S'''' of S''' such that $L' \in \text{SIZE}(n^k)$ on S'''' for any $L' \in \text{DTIME}(2^{n^{2k}})$, which contradicts Proposition 27. \square

Our most technically involved result is that the recent lower bound of Williams [Wil10b] that $\text{NEXP} \not\subseteq \text{ACC}^0$ extends to the robustly often setting. His proof uses the nondeterministic time hierarchy and the proof of Impagliazzo, Kabanets and Wigderson [IKW02], neither of which may hold in the infinitely-often setting. So to get a robustly-often result we require variants of our Theorems 21 and 18. To save space, we will focus on the new ingredients, and abstract out what we need from Williams' paper.

We first need the following simultaneous resource-bounded complexity class.

Definition 29. $\text{NTIMEGUESS}(T(n), g(n))$ is the class of languages accepted by NTMs running in time $O(T(n))$ and using at most $O(g(n))$ non-deterministic bits.

We have the following variant of Theorem 21, which has a similar proof.

Lemma 30. For any constant k , $\text{NTIME}(2^n) \not\subseteq \text{r.o.NTIMEGUESS}(2^n/n, n^k)$.

We also need the following robustly often analogue of a theorem of Williams [Wil10a], which uses the proof idea of Theorem 18. The problem SUCCINCT3SAT is complete for NEXP under polynomial-time m -reductions.

Lemma 31. If $\text{NE} \subseteq \text{r.o.ACC}^0$ on S for some robust set S , then there is a constant c and a refinement S' of S such that SUCCINCT3SAT has succinct satisfying assignments that are ACC^0 circuits of size n^c on S' .

Proof. The proof of Theorem 18 gives that if $\text{NE} \subseteq \text{ACC}^0$ on S , then there is a constant d and a robust refinement R of S such that SUCCINCT3SAT has succinct satisfying assignments that are circuits of size n^d on R . Since $\text{P} \subseteq \text{ACC}^0$ on S and using Proposition 9, we get that there is a constant c and a robust refinement S' of R such that SUCCINCT3SAT has succinct satisfying assignments that are ACC^0 circuits of size n^c on S' . \square

Now we are ready to prove the robustly often analogue of Williams' main result [Wil10b].

Theorem 32. $\text{NEXP} \not\subseteq \text{r.o.ACC}^0$.

Proof Sketch. Assume, to the contrary, that $\text{SUCCINCT3SAT} \in \text{ACC}^0$ on R for some robust R . By completeness of SUCCINCT3SAT , it follows that there is a robust refinement S of R and a constant $k' > 1$ such that NE has ACC^0 circuits of size $n^{k'}$. Let $L \in \text{NTIME}(2^n)$ but not in $\text{r.o.NTIMEGUESS}(2^n/n, n^k)$, where k will be chosen large enough as a function of k' . Existence of L is guaranteed by Lemma 30. We will show $L \in \text{r.o.NTIMEGUESS}(2^n/n, n^k)$ and obtain a contradiction.

The proof of Theorem 3.2 in Williams’ paper gives an algorithm for determining if $x \in L$. The algorithm non-deterministically guesses and verifies a ”small” (size $n^{O(c)}$) ACC^0 circuit which is equivalent to the SUCCINCT3SAT instance to which x reduces, within time $2^n/\omega(n)$ by using Williams’ new algorithm for ACC^0 -SAT together with the assumption that NEXP and hence P in ACC^0 on S . This guess-and-verification procedure works correctly on some robust refinement of S . Then, the algorithm uses the existence guarantee of Lemma 31 to guess and verify a succinct witness, again using Williams’ algorithm for ACC^0 -SAT. This further guess-and-verification procedure works correctly on some further robust refinement S'' of S . In total, the algorithm uses at most $n^{dk'}$ non-deterministic bits for some constant d , runs in time at most $2^n/n$ and decides L correctly on S'' . By choosing $k > dk'$, we get the desired contradiction. \square

Williams’ work still leaves open whether $\text{NEXP} \subseteq \text{SIZE}(\text{poly})$. Using the same ideas as in the proof of Theorem 32, we can show that an algorithm for CircuitSAT that improves slightly on brute force search robustly often would suffice to get such a separation.

Theorem 33. *If for each polynomial p , CircuitSAT can be solved in time $2^{n-\omega(\log(n))}$ robustly often on instances where the circuit size is at most $p(n)$, then $\text{NEXP} \not\subseteq \text{SIZE}(\text{poly})$.*

4.3 Time-Space Tradeoffs

Proposition 34. *Let t and T be time-constructible functions such that $t = o(T)$. Then $\text{NTIME}(T) \not\subseteq \text{i.o.coNTIME}(t)$.*

Theorem 35. *Let $\alpha < \sqrt{2}$ be any constant. $\text{SAT} \notin \text{r.o.DTISP}(n^\alpha, \text{polylog}(n))$.*

Proof in the appendix. Similar ideas can be used to show the best known time-space tradeoffs for SAT - the key is that the proofs of these tradeoffs proceed by indirect diagonalization, using the contrary assumption and polynomial padding a constant number of times and deriving a contradiction to a strong hierarchy theorem.

5 Conclusion and Open Problems

Our paper makes significant progress in remedying one of the main issues with proofs using indirect diagonalization - the fact that they don’t give almost everywhere separations. We have shown that most interesting results proved using this technique can be strengthened at least to give significant separations.

There are still many separations which we don’t know how to make significant: eg., the main results of [BFS09, San07, BFT98]. The reasons why we’re unable to get significant separations are different in different cases: the inability to formulate the proof as following from a single assumption about robustly often simulations in the first case, the use of superpolynomial amount of padding in the second, and the fact that complete languages for MAEXP are unknown in the last. It’s not clear whether there are inherent limitations to getting significant separations in these cases.

Other variants of robustly often might be interesting to study, such as a ”linear” variant where the simulation is only required to hold on arbitrarily large linear stretches of inputs rather than polynomial stretches. Separations against this notion of simulation would be stronger than significant separations.

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Appendix

Here we give proofs omitted from the body of the paper.

Proof of Lemma 5. Let f be a polynomial-time honest m-reduction from L' to L . By assumption, there is a robust S such that $L \in \mathbf{C}$ on S . Let $K \in \mathbf{C}$ be a language such that $L_n = K_n$ for all $n \in S$. We define a robust refinement S' of S as follows: $n' \in S'$ iff $n' \in S$ and for all x of length n' , $|f(x)| \in S$. By definition, S' is a refinement of S ; we show that it is robust and that $L' \in \mathbf{C}$ on S' .

First, we show robustness of S' . Robustness of S is equivalent to saying that for each positive integer k , there is a positive integer $m(k)$ such that $n \in S$ for all $m(k)^{1/k} \leq n \leq m(k)^k$. Since f is an honest reduction, there is an integer $c > 1$ such that for all x , $|x|^{1/c} \leq |f(x)| \leq |x|^c$. We show that for each positive integer k , there is a positive integer $m'(k)$ such that $n' \in S'$ for all $m'(k)^{1/k} \leq n' \leq m'(k)^k$. Simply choose $m'(k) = m(ck)$. We have that for any n' such that $m(ck)^{1/k} \leq n' \leq m(ck)^k$, for all x of length n' , $|f(x)|$ is between $(n')^{1/c}$ and $(n')^c$ by assumption on f . Hence for all x of length n' , $|f(x)|$ is between $m(ck)^{1/ck}$ and $m(ck)^{ck}$, which implies $f(x) \in S$ for all such x , and hence $n' \in S'$.

Next we show $L' \in \mathbf{C}$ on S' . Define a language K' as follows: $x \in K'$ iff $f(x) \in K$. Since \mathbf{C} is closed under poly-time m-reductions, $K' \in \mathbf{C}$. Now, on each input length $n' \in S'$, for any x of length n' , $|f(x)| \in S$, and hence $L(x) = K(x)$. This implies that $L'(x) = K'(x)$ for all such x , and hence $L'_{n'} = K'_{n'}$, which finishes the proof. \square

Proof of Theorem 10. We show that for any positive integer k , $\Sigma_k^p \subseteq \mathbf{r.o.P}$. The proof is by induction on k . We will need to formulate our inductive hypothesis carefully.

By assumption, $\text{SAT} \in \mathbf{r.o.DTIME}(n^c)$ for some integer $c > 0$. Let S be a robust set such that $\text{SAT} \in \mathbf{DTIME}(n^c)$ on S . Using the same idea as in the proof of Lemma 5, we have that for any $L \in \mathbf{NTIME}(n^d)$, $L \in \mathbf{DTIME}(n^{cd})$ on S_d , where S_d is the canonical refinement defined in Definition 4. Moreover, the conclusion holds even if the assumption is merely that $L \in \mathbf{NTIME}(n^d)$ on S_d .

Now we formulate our inductive hypothesis H_k . The hypothesis H_k is that $\Sigma_k \text{SAT} \in \mathbf{DTIME}(n^{c^{k+o(1)}})$ on $S_{c^{k+1}}$. For $k = 1$, the hypothesis holds because by assumption $\text{SAT} \in \mathbf{DTIME}(n^c)$ on S and hence on S_{c^2} since S_{c^2} is a refinement of S . If we prove the inductive hypothesis, the proof of the theorem will be complete using Lemma 5 and the fact that $\Sigma_k - \text{SAT}$ is complete for Σ_k^p under polynomial-time honest m-reductions.

Assume that H_k holds - we will establish H_{k+1} . Since H_k holds, we have that $\Sigma_k \text{SAT} \in \mathbf{DTIME}(n^{c^{k+o(1)}})$ on $S_{c^{k+1}}$. Now $\Sigma_{k+1} \text{SAT} \in \mathbf{NTIME}(n^{1+o(1)\Sigma_k \text{SAT}})$. Moreover, by using padding, we can assume that all the queries of the oracle machine are of length $n^{1+o(1)}$. Now, using the same idea as in the proof of Lemma 5, we have that $\Sigma_{k+1} \text{SAT} \in \mathbf{NTIME}(n^{c^{k+o(1)}})$ on $S_{c^{k+2}}$. Using again the assumption that $\text{SAT} \in \mathbf{DTIME}(n^c)$ on S , we have for each language $L \in \mathbf{NTIME}(n^{c^{k+o(1)}})$ on $S_{c^{k+1}}$, $L \in \mathbf{DTIME}(n^{c^{k+1+o(1)}})$ on $S_{c^{k+1}}$ and hence on $S_{c^{k+2}}$ since $S_{c^{k+2}}$ is a refinement of $S_{c^{k+1}}$. Thus we have that $\Sigma_{k+1} \text{SAT} \in \mathbf{DTIME}(n^{c^{k+1+o(1)}})$ on $S_{c^{k+1}}$, which establishes H_{k+1} and completes the proof of the theorem. \square

Proof of Lemma 13. We follow the usual proof of the Karp-Lipton theorem. Let $\{C_n\}$ be a sequence of circuits such that C_n solves SAT correctly for $n \in S$ and $|C_n| = O(n^k)$. Using self-reducibility

and paddability of SAT, we can define a sequence $\{C'_n\}$ of circuits such that C'_n outputs a satisfying assignment for all satisfiable formulae of length $n \in S$, and $|C'_n| = O(n^{k+1})$ for all n .

Now let ϕ be an instance of $\Pi_2\text{SAT}$: $\phi \in \Pi_2\text{SAT}$ iff $\forall \vec{x} \exists \vec{y} \phi(\vec{x}, \vec{y})$. By NP-completeness and paddability of SAT, there is a polynomial-time computable function f such that $\phi \in \Pi_2\text{SAT}$ iff $\forall \vec{x} f(< \phi, \vec{x} >) \in \text{SAT}$, and $|z| \leq |f(z)| \leq |z|^{1+o(1)}$. Consider the following Σ_2 algorithm for ϕ : it existentially guesses a circuit C' of size $O(n^{k+1})$ and universally verifies for all \vec{x} that $\phi(\vec{x}, C'(f(< \phi, \vec{x} >)))$ holds. This algorithm decides $\Pi_2\text{SAT}$ correctly on all $n \in S_2$, where S_2 is the canonical refinement of S at level 2. The time taken by the algorithm is $O(n^{k+1+o(1)})$, which gives the desired conclusion. \square

Proof of Theorem 18. Let $k > 1$ be a constant and L be a complete set for NE under linear-time reductions for which there is a robust set S such that $L \in \text{SIZE}(n^k)$ on S . This implies that there is a robust refinement S' of S such that $\text{NE} \subseteq \text{SIZE}(n^k)$ on S' , using Lemma 5. Using Lemma 15, there is a robust refinement S'' of S' such that $\text{E} \subseteq \text{MATIME}(n^{2k})$ on S'' . Using Proposition 7, we have that there is a robust refinement S''' of S'' such that $\text{DTIME}(2^{n^{2k}}) \subseteq \text{MATIME}(n^{4k^2})$ on S''' . Now set $R = S'''$ in Lemma 17.

There are two cases. Case 1 is that we have $\text{NE} \subseteq \text{DTIME}(2^{n^{16k^4}})$ on S''' . In this case, by using padding and applying Proposition 7, we have that $\text{NE} \subseteq \text{DTIME}(2^{n^{16k^4}}) \subseteq \text{MA}$ on S'''_{16k^4+1} . This gives that $\text{NE} \subseteq \text{r.o.MA}$, which implies $\text{NEXP} \subseteq \text{r.o.MA}$ by applying Proposition 7 again, and we have the desired conclusion.

Case 2 is that $\text{MATIME}(n^{4k^2}) \subseteq \text{NE}/O(n)$ on some robust refinement S'''' of S''' . We will derive a contradiction in this case. Since S'''' is a refinement of S''' , we have that $\text{DTIME}(2^{n^{2k}}) \subseteq \text{MATIME}(n^{4k^2}) \subseteq \text{NE}/O(n)$ on S'''' . Since S'''' is a refinement of S' , we have that $\text{NE} \subseteq \text{SIZE}(n^k)$ on S'''' . Applying Proposition 9, we have that there is a robust refinement S''''' of S'''' such that $\text{NE}/O(n) \subseteq \text{SIZE}(n^k)$ on S''''' . Thus $\text{DTIME}(2^{n^{2k}}) \subseteq \text{r.o.SIZE}(n^k)$, but this is in contradiction to the fact that $\text{DTIME}(2^{n^{2k}}) \not\subseteq \text{i.o.SIZE}(n^k)$ by direct diagonalization. \square

Proof of Theorem 19. Assume, to the contrary, that there is an infinitely-often robust simulation of NEXP by NP. Let L be a language which is paddable and complete for NE under linear-time m-reductions. By assumption, there is a robust set S and an integer k such that $L \in \text{NTIME}(n^k)$ on S .

Let K be a set in $\text{DTIME}(2^{n^{2k}})$ but not in $\text{i.o.NTIME}(n^k)$ - such a set can be constructed by direct diagonalization. Consider the following padded version K' of K : $y \in K'$ iff $y = x01^{|x|^{2k}-|x|-1}$ for $x \in K$. Since $K \in \text{DTIME}(2^{n^{2k}})$, we have that $K' \in \text{E} \subseteq \text{NE}$. Now using the assumption on L and the proof idea of Lemma 5, we have that $K' \in \text{NTIME}(n^k)$ on S_2 , where S_2 is the canonical refinement of S at level 2. Since there is an m-reduction from K to K' running in time $O(n^{2k})$ which only blows up the instance length, we can use the proof idea of Lemma 5 again to show that $K \in \text{NTIME}(n^{2k^2})$ on S_{4k} . Now, since $K \in \text{NE}$ and L is complete for NE, we can use the proof of idea of Lemma 5 a third time to show that $K \in \text{NTIME}(n^k)$ on S_{4k} . But since S_{4k} is infinite, this implies $K \in \text{NTIME}(n^k)$ on an infinite set of input lengths, which contradicts our assumption on K . \square

Proof of Theorem 24. Assume, contrarily, that $\Sigma_2^p \subseteq \text{r.o.SIZE}(n^k)$. Let L be a set that is complete for $\Sigma_2 - \text{TIME}(n^{4k^4})$ under linear-time reductions, and S be a robust set such that $L \in \text{SIZE}(n^k)$

on S . Hence also $\Sigma_2 SAT \in \text{SIZE}(n^k)$ on S . This implies $SAT \in \text{SIZE}(n^k)$ on S , and by Lemma 13, there is a robust refinement S' of S such that $\Pi_2 SAT \in \Sigma_2 - \text{TIME}(n^{k+o(1)})$ on S' . Now, by using the proof idea of Theorem 10, we have that there is a robust refinement S'' of S' such that $\Sigma_4 SAT \in \Sigma_2 - \text{TIME}(n^{k^3+o(1)})$ on S'' . Using the fact that $\Sigma_4 SAT$ is complete for $\Sigma_4 P$ and the proof idea of Lemma 5, we have that there is a robust refinement S''' of S'' such that $\Sigma_4 - \text{TIME}(n^{3k}) \subseteq \Sigma_2 - \text{TIME}(n^{3k^4+o(1)})$ on S''' . Now, by completeness of L for $\Sigma_2 - \text{TIME}(n^{4k^4})$, we have that there is a robust refinement S'''' of S''' such that $\Sigma_2 - \text{TIME}(n^{3k^4+o(1)}) \subseteq \text{SIZE}(n^k)$ on S'''' . This implies $\Sigma_4 - \text{TIME}(n^{3k}) \subseteq \text{SIZE}(n^k)$ on S'''' , which contradicts Theorem 23 since S'''' is an infinite set. \square

Proof of Theorem 35. Assume, to the contrary, that $SAT \in \text{r.o.DTISP}(n^\alpha, \text{polylog}(n))$ on S for some robust set S and constant $\alpha < \sqrt{2}$. Since $\text{DTISP}(n^\alpha, \text{polylog}(n)) \subseteq \Pi_2 - \text{TIME}(n^{\alpha/2+o(1)})$ [FLvMV05] and using that SAT is complete for $\text{NTIME}(n \text{polylog}(n))$ under quasilinear-time length-increasing reductions, we have that there is a robust refinement S' of S such that $\text{NTIME}(n) \subseteq \text{DTISP}(n^\alpha, \text{polylog}(n)) \subseteq \Pi_2 - \text{TIME}(n^{\alpha/2+o(1)})$ on S' . By padding, there is a robust refinement S'' of S' such that $\text{NTIME}(n^2) \subseteq \Pi_2 - \text{TIME}(n^{\alpha+o(1)})$ on S'' . By using the assumption that $\text{NTIME}(n) \subseteq \text{DTIME}(n^{\text{alpha}})$ on S' again to eliminate the existential quantifier in the Π_2 simulation, we have that there is a robust refinement S''' of S'' such that $\text{NTIME}(n^2) \subseteq \text{coNTIME}(n^{\alpha^2+o(1)})$ on S''' . But this is a contradiction to Proposition 34, since S''' is infinite. \square